



AP/ - 111  
PATENT  
930021-2014.B

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Jonathan Goering  
Serial No. : 09/899,330  
For : ARTICLE AND METHOD OF MAKING  
Filing Date : July 5, 2001  
Examiner : Elizabeth M. Cole  
Group Art Unit : 1771

GROUP 1700  
JUN 18 2003  
RECEIVED

745 Fifth Avenue  
New York, New York 10151  
Tel. (212)588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 18, 2003.

Ronald R. Santucci, Reg. No. 28,988

Name of Applicant, Assignee or Registered Representative

  
Signature  
June 18, 2003

Date of Signature

**COMMUNICATION**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

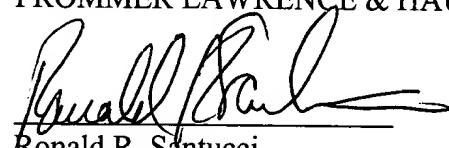
Upon review of the file, it has been discovered that certain references were listed in an Information Disclosure Statement mailed July 18, 2003 for the above which related to a different file and different subject matter. Attached is a copy of the Form 1449 which was submitted with the totally irrelevant references crossed out in red. Applicant respectfully asks that these references not appear on the face of any patent that may issue based upon the above

application. For your convenience, Applicant also encloses a fresh Form 1449 listing the proper set of references.

The Commissioner is authorized to charge any additional fees that may be required to Deposit Account No. 50-0320.-

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:

  
Ronald R. Santucci  
Reg. No. 28,988  
(212) 588-0800

Based on Form PTO-1449 (3/90)		ATTY. DOCKET NO. <b>930021-2014.B</b>	SERIAL NO. <b>09/899,330</b>
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT <b>Jonathan Goering</b>	
		FILING DATE <b>07/05/2001</b>	GROUP

RECEIVED  
JUN 20 2003  
U.S. PATENT & TRADEMARK OFFICE  
GROUP 1700

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	2,004,421	11/20/1976	Hansen			
AB	4,232,968	10/06/1981	Mono et al.			
AC	4,725,485	02/16/1988	Hirokawa			
AD	4,906,506	03/06/1990	Nishimura et al.			
AE	4,922,968	05/08/1990	Bottger et al.			
AF	5,064,705	11/12/1991	Donovan, Sr.			
AG	5,100,713	03/31/1992	Homma et al.			
AH	5,505,104	04/09/1996	Adjer et al.			
AI	6,004,139	05/18/1999	Hoover			
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	0 683 035 A1	11/22/1995	Europe				
AM	1 205 275	03/04/1968	Great Britain				
AN	2 561 106	03/16/1994	France				
AO	G 88 02 711.2	03/01/1988	Germany				
AP	DE 197 16 637 A1	04/21/1997	Germany				

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AQ	International Search Report prepared by EPO and issued for PCT/US01/49520; mailed July 9, 2002
AR	International Search Report prepared by EPO and issued for PCT/US02/06213; mailed July 11, 2003
AS	
AT	
AU	
AV	

EXAMINER	DATE CONSIDERED
----------	-----------------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

Based on Form PTO-1449 (3/90)			ATTY. DOCKET NO. <b>930021-2014.B</b>	SERIAL NO. <b>09/840,350</b>			
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)			APPLICANT <b>Jonathan Goering</b>				
JUN 18 2003 <i>O I P E</i> <i>JCA2</i> <i>SEARCH &amp; TRADEMARK OFFICE</i>			FILING DATE <b>07/05/2001</b>	GROUP <b>1771</b>			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,725,485	02/16/1988	Hirokawa			
	AB	4,906,506	03/06/1990	Nishimura et al.			
	AC	4,922,968	05/08/1990	Bottger et al.			
	AD	5,064,705	11/12/1991	Donovan, Sr.			
	AE	5,100,713	03/31/1992	Homma et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	AL	0 683 035 A1	11/22/1995	Europe			YES
	AM	1 205 275	03/04/1968	Great Britain			NO
	AN	G 88 02 711.2	03/01/1988	Germany			
	AO	DE 197 16 637 A1	04/21/1997	Germany			
	AP						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AQ		International Search Report prepared by EPO and issued for PCT/US01/49520; mailed July 9, 2002				
	AR						
	AS						
	AT						
	AU						
	AV						
EXAMINER				DATE CONSIDERED			
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							



PATENT  
930021-2014.B

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Jonathan Goering

Serial No. : 09/899,330

For : ARTICLE AND METHOD OF MAKING

Filing Date : July 5, 2001

Examiner : Elizabeth M. Cole

Group Art Unit : 1771

RECEIVED  
JUN 20 2003  
GROUP 1700

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 18, 2003.

Ronald R. Santucci, Reg. No. 28,988

Name of Applicant, Assignee or Registered Representative

Signature

June 18, 2003

Date of Signature

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(D)**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

The Information Disclosure Statement submitted herewith is being filed after receipt of the first office action but prior to receipt of the notice of allowance. Applicant therefore encloses a check in the amount of \$180 as the requisite fee. Some of the items of information disclosed in the Information Disclosure Statement were first cited in a

06/19/2003 EXAILE1 0000026 09899330

0% FC:1806

180.00 UP

communication from the European Patent Office for a corresponding international application mailed less than three months from the date of filing this Information Disclosure Statement. As to the remaining references, they were cited by the common examiner in related cases and are being included herewith for consistency purposes.

The Commissioner is authorized to charge any additional fees that may be required to Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:

  
Ronald R. Santucci  
Reg. No. 28,988  
(212) 588-0800

Based on Form PTO-1449 (3/90)		ATTY. DOCKET NO. 930021-2014.B	SERIAL NO. 09/854110			
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		RECEIVED JUN 20 2003 PATENT & TRADEMARK OFFICE JUN 18 2003 O I P E JCA2				
		APPLICANT Jonathan Goering				
		FILING DATE 07/05/2001	GROUP 1771 GROUP 1700			
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,521,000	05/2/1996	Owens			
AB	5,817,409	10/06/1998	Stephan et al.			
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
AL	GB 854,222	11/16/1960	Great Britain			NO
AM	DE 198 05 456 A1	11/2/1998	Germany			X
AN	EP 0 243 105 A1	10/18/1987	Europe			
AO	EP 0 426 158 A2	05/08/1991	Europe			
AP						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
AQ						
AR						
AS						
AT						
AU						
AV						
EXAMINER			DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						